## 🖨 Print this page

Disclosure of Interest/Changes in Interest of Substantial Shareholder(s)/Unitholder(s)						
* Asterisks denote mandatory information						
Name of Announcer *	MAPLETREE LOGISTICS TRUST MANAGEMENT LTD.					
Company Registration No.	200500947N					
Announcement submitted on behalf of	MAPLETREE LOGISTICS TRUST					
Announcement is submitted with respect to *	MAPLETREE LOGISTICS TRUST					
Announcement is submitted by *	WAN KWONG WENG					
Designation *	JOINT COMPANY SECRETARY					
Date & Time of Broadcast	08-Oct-2013 17:51:59					
Announcement No.	00083					

|--|

The details of the announcement start here ...

Person(s) Giving Notice \*

Substantial Shareholder(s)/Unitholder(s) (Form 3)

Date of receipt of notice by Listed Issuer \*

Attachment (Form 1/3/5/6)

MLT\_Form3\_BNYGroup\_F\_8Oct13.pdf
Total size = 190K
(2048K size limit recommended)

08/10/2013

Close Window

### SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

### NOTIFICATION FORM FOR SUBSTANTIAL SHAREHOLDER(S)/ UNITHOLDER(S) IN RESPECT OF INTERESTS IN SECURITIES

# FORM 3 (Electronic Format)

#### Explanatory Notes

- 1. Please read the explanatory notes carefully before completing the notification form.
- This form is for a Substantial Shareholder(s)/Unitholder(s) to give notice under section 135, 136, 137, 137J (as applicable to sections 135, 136 and 137) or 137U (as applicable to sections 135, 136 and 137) of the Securities and Futures Act (the "SFA").
- 3. This electronic Form 3 and a separate Form C, containing the particulars and contact details of the Substantial Shareholder(s)/Unitholder(s), must be completed by the Substantial Shareholder(s)/Unitholder(s) or a person duly authorised by the Substantial Shareholder(s)/Unitholder(s) to do so. The person so authorised should maintain records of information furnished to him by the Substantial Shareholder(s)/Unitholder(s).
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. Where a transaction results in similar notifiable obligations on the part of more than one Substantial Shareholder/Unitholder, all of these Substantial Shareholders/Unitholders may give notice using the same notification form.
- 6. A single form may be used by a Substantial Shareholder/Unitholder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of becoming aware of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 7. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking the paper clip icon on the bottom left-hand corner or in item 11 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 8. Except for item 5 of Part II and item 1 of Part IV, please select only one option from the relevant check boxes.
- 9. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 10. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;

- (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
- (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 11. For further instructions and guidance on how to complete this notification form, please refer to section 7 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

## Part I - General

1. Name of Listed Issuer:

Mapletree Logistics Trust

- 2. Type of Listed Issuer:
  - Company/Corporation
  - Registered/Recognised Business Trust
  - Real Estate Investment Trust

Name of Trustee-Manager/Responsible Person:

Mapletree Logistics Trust Management Ltd.

- 3. Is more than one Substantial Shareholder/Unitholder giving notice in this form?
  - No (Please proceed to complete Part II)
  - Yes (Please proceed to complete Parts III & IV)
- 4. Date of notification to Listed Issuer:

07-Oct-2013

		, 이상에 가지 않는 것이 같은 것이 같은 것이 같은 것을 물었다.		
Partill	Substantia	Shareholder	(s)/Unitholder(	e) Dotaile
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[To be used for multiple Substantial Shareholders/Unitholders to give notice]

Substantial Shareholder/Unitholder A

1.	Name of Substantial Shareholder/Unitholder:
	BNY Mellon Investment Management (Jersey) Ltd.

- 2. Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in the securities of the Listed Issuer are held solely through fund manager(s)?
  - 🗸 Yes
  - 🗌 No
- 3. Notification in respect of:
  - Becoming a Substantial Shareholder/Unitholder
  - Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
  - Ceasing to be a Substantial Shareholder/Unitholder
- 4. Date of acquisition of or change in interest:

04-Oct-2013

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 4 above, please specify the date*):

07-Oct-2013

6. Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):

Our submission date 7 Oct 2013 is in line with our reporting guidelines representing the reporting rules of 2 business days from trade date.

7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/ Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	123,745,000	123,745,000
As a percentage of total no. of voting shares/ units:	0	5.07	5.07
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	0	119,365,000	119,365,000
As a percentage of total no. of voting shares/ units:	0	4.89	4.89

8.	Circumstances giving rise to deemed interests (if the interest is such): [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deer interest arises]
	The indirect interest of BNY Mellon Investment Management (Jersey) Ltd. decreased as a result of an operative market Sale by Newton Investment Management Ltd. Please refer to Item 10 for the attachment.
9.	Relationship between the Substantial Shareholders/Unitholders giving notice in this form [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders Unitholders]
	Please refer to Item 10 for the attachment.
10.	Attachments ( <i>if any</i> ):
	(The total file size for all attachment(s) should not exceed 1MB.)
11.	If this is an <b>amendment</b> of an earlier notification, please provide:
	(a) 5-digit SGXNet announcement number of the <u>first</u> notification which was announced on SGXNet ( <i>the "Initial Announcement"</i> ):
	(b) Date of the Initial Announcement:
	(c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
10	
12.	Remarks ( <i>if any</i> ):
Sub	stantial Shareholder/Unitholder B
1.	Name of Substantial Shareholder/Unitholder:
-	BNY Mellon Investment Management (Europe) Ltd.
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in securities of the Listed Issuer are held solely through fund manager(s)?  Yes No

- 3. Notification in respect of:
  - Becoming a Substantial Shareholder/Unitholder
  - Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholder
  - Ceasing to be a Substantial Shareholder/Unitholder
- 4. Date of acquisition of or change in interest:

04-Oct-2013

5. Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (*if different from item 4 above, please specify the date*):

07-Oct-2013

6. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

Our submission date 7 Oct 2013 is in line with our reporting guidelines representing the reporting rules of 2 business days from trade date.

7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/ Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	123,745,000	123,745,000
As a percentage of total no. of voting shares/ units:	0	5.07	5.07
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	0	119,365,000	119,365,000
As a percentage of total no. of voting shares/ units:	0	4.89	4.89

8. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

The indirect interest of BNY Mellon Investment Management (Europe) Ltd. decreased as a result of an open market Sale by Newton Investment Management Ltd. Please refer to Item 10 for the attachment.

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ Unitholders]

	Please refer to Iter	n 10 for the attachment.
10.	Attachments ( <i>ii</i> <i>O</i> ( <i>The total fi</i>	any): 🍘
11.	If this is an <b>am</b>	endment of an earlier notification, please provide:
		GXNet announcement number of the <u>first</u> notification which was ed on SGXNet ( <i>the "Initial Announcement"</i> ):
	(b) Date of th	ne Initial Announcement:
		ransaction reference number of the relevant transaction in the Form 3 s attached in the Initial Announcement:
12.	Remarks ( <i>if an</i> y	/: /:
<u>Sub</u>	stantial Sharehol	der/Unitholder C
	stantial Sharehol Name of Substa	
<u>Sub</u> 1.	stantial Sharehol Name of Substa BNY Mellon Invest Is Substantial	der/Unitholder C
<u>Sub</u>	Stantial Sharehol Name of Substa BNY Mellon Invest Is Substantial s securities of the ☑ Yes ☐ No Notification in re	der/Unitholder C antial Shareholder/Unitholder: ment Management Europe Holdings Limited Shareholder/Unitholder a fund manager or a person whose interest in the Listed Issuer are held solely through fund manager(s)?
<u>Sub</u> 1. 2.	stantial Sharehol Name of Substa BNY Mellon Invest Is Substantial securities of the Ves No Notification in re Becoming a S	der/Unitholder C antial Shareholder/Unitholder: ment Management Europe Holdings Limited Shareholder/Unitholder a fund manager or a person whose interest in the Listed Issuer are held solely through fund manager(s)? espect of:
<u>Sub</u> 1. 2.	stantial Sharehol Name of Substa BNY Mellon Invest Is Substantial S securities of the ✓ Yes ☐ No Notification in re ☐ Becoming a S ☐ Change in the	der/Unitholder C antial Shareholder/Unitholder: ment Management Europe Holdings Limited Shareholder/Unitholder a fund manager or a person whose interest in the Listed Issuer are held solely through fund manager(s)? espect of: ubstantial Shareholder/Unitholder
<u>Sub</u> 1. 2.	Stantial Sharehol Name of Substa BNY Mellon Invest Is Substantial S securities of the ✓ Yes ☐ No Notification in re ☐ Becoming a S ☐ Change in the ✓ Ceasing to be	der/Unitholder C       Image: Comparison of the second state of th
<u>Sub</u> 1. 2. 3.	Stantial Sharehol Name of Substa BNY Mellon Invest Is Substantial S securities of the ✓ Yes ☐ No Notification in re ☐ Becoming a S ☐ Change in the ✓ Ceasing to be	der/Unitholder C       Image: Comparison of the second secon

6. Explanation (*if the date of becoming aware is different from the date of acquisition of, or the change in, interest*):

Our submission date 7 Oct 2013 is in line with our reporting guidelines representing the reporting rules of 2 business days from trade date.

7. Quantum of total voting shares/units (*including voting shares/units underlying rights/options/ warrants/convertible debentures {conversion price known}*) held by Substantial Shareholder/ Unitholder before and after the transaction:

Immediately before the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	123,745,000	123,745,000
As a percentage of total no. of voting shares/ units:	0	5.07	5.07
Immediately after the transaction	Direct Interest	Deemed Interest	Total
Immediately after the transaction No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	Direct Interest	Deemed Interest 119,365,000	Total 119,365,000

8. Circumstances giving rise to deemed interests (*if the interest is such*): [You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

The indirect interest of BNY Mellon Investment Management Europe Holdings Limited decreased as a result of an open market Sale by Newton Investment Management Ltd. Please refer to Item 10 for the attachment.

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ Unitholders]

Please refer to Item 10 for the attachment.

10. Attachments (if any):



(The total file size for all attachment(s) should not exceed 1MB.)

- 11. If this is an **amendment** of an earlier notification, please provide:
  - (a) 5-digit SGXNet announcement number of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):



	(c)	15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:
12.	Rem	narks ( <i>if any</i> ):
~ 1		
Sub	ostantia	al Shareholder/Unitholder D
1.	Nam	e of Substantial Shareholder/Unitholder:
	BNY	Aellon International Asset Management Group Ltd.
2.		ubstantial Shareholder/Unitholder a fund manager or a person whose interest in th
	secu 7 Y	rities of the Listed Issuer are held solely through fund manager(s)?
3.	Laurent .	
0.		ication in respect of: ecoming a Substantial Shareholder/Unitholder
		hange in the percentage level of interest while still remaining a Substantial Shareholder/Unithold
		easing to be a Substantial Shareholder/Unitholder
4	Data	
4.	<b></b>	of acquisition of or change in interest:
		t-2013
5.		on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the ge in, interest (if different from item 4 above, please specify the date):
		ge in, interest 🥶 (il different from item 4 above, please specify the date): t-2013
e	L	
6.		anation (if the date of becoming aware is different from the date of acquisition of, or the ge in, interest):
		bmission date 7 Oct 2013 is in line with our reporting guidelines representing the reporting
	rules c	of 2 business days from trade date.
7.	Quar	ntum of total voting shares/units ( <i>including voting shares/units underlying rights/options</i> ants/convertible debentures {conversion price known}) held by Substantial Shareholder
		older before and after the transaction:

No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures:	0	123,745,000	123,745,000
As a percentage of total no. of voting shares/ units:	0	5.07	5.07
Immediately after the transaction	Direct Interest	Deemed Interest	Totel
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	0	119,365,000	119,365,000

8. Circumstances giving rise to deemed interests (*if the interest is such*):

[You may attach a chart in item 10 to illustrate how the Substantial Shareholder/Unitholder's deemed interest arises]

The indirect interest of BNY Mellon International Asset Management Group Ltd. decreased as a result of an open market Sale by Newton investment Management Ltd. Please refer to item 10 for the attachment.

9. Relationship between the Substantial Shareholders/Unitholders giving notice in this form: [You may attach a chart in item 10 to show the relationship between the Substantial Shareholders/ Unitholders]

Please refer to Item 10 for the attachment.

10. Attachments (if any):

(The total file size for all attachment(s) should not exceed 1MB.)

- 11. If this is an amendment of an earlier notification, please provide:
  - (a) 5-digit SGXNet announcement number of the <u>first</u> notification which was announced on SGXNet (*the "Initial Announcement"*):
  - (b) Date of the Initial Announcement:
  - (c) 15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:

12. Remarks (if any):

1.	Name of Substantial Shareholder/Unitholder: Newton Management Ltd.										
2.	Is Substantial Shareholder/Unitholder a fund manager or a person whose interest in th securities of the Listed Issuer are held solely through fund manager(s)? ☑ Yes □ No										
3.	Notification in respect of:										
	Becoming a Substantial Shareholder/Unitholder										
	Change in the percentage level of interest while still remaining a Substantial Shareholder/Unitholde										
	Ceasing to be a Substantial Shareholder/Unitholder										
4.	Date of acquisition of or change in interest:										
	04-Oct-2013										
5.	Date on which Substantial Shareholder/Unitholder became aware of the acquisition of, or the change in, interest (if different from item 4 above, please specify the date):										
	07-Oct-2013										
6.	Explanation (if the date of becoming aware is different from the date of acquisition of, or the change in, interest):										
	Our submission date 7 Oct 2013 is in line with our reporting guidelines representing the reporting rules of 2 business days from trade date.										
7.	Quantum of total voting shares/units ( <i>including voting shares/units underlying rights/options</i> , <i>warrants/convertible debentures {conversion price known}</i> ) held by Substantial Shareholder, Unitholder before and after the transaction:										
	Immediately before the transaction Direct Interest Deemed Interest Total										

units:			
Immediately after the transaction	Direct Interest	Deemed Interest	Total
No. of voting shares/units held and/or underlying the rights/options/warrants/ convertible debentures :	0	119,365,000	119,365,000
As a percentage of total no. of voting shares/ units:	0	4.89	4.89

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9.	Relationship between the Substantial Shareholders/Unitholders giving notice in this form:								
	[You may attach a chart in item 10 to show the relationship between the Substantial Shareholders, Unitholders]								
	Pleas	e refer to Item 10 for the attachment.							
10.	Atta	chments ( <i>if any</i> ): 🍘							
	Ċ	(The total file size for all attachment(s) should not exceed 1MB.)							
11.	lf thi (a)	s is an <b>amendment</b> of an earlier notification, please provide: 5-digit SGXNet announcement number of the <u>first</u> notification which was announced on SGXNet ( <i>the "Initial Announcement"</i> ):							
	(b)	Date of the Initial Announcement:							
	(c)	15-digit transaction reference number of the relevant transaction in the Form 3 which was attached in the Initial Announcement:							
12.	Rem	arks ( <i>if any</i> ):							

	Part IV - Transaction details
1.	Type of securities which are the subject of the transaction (more than one option may be chosen):  Voting shares/units Rights/Options/Warrants over voting shares/units Convertible debentures over voting shares/units (conversion price known) Others (please specify):
2.	Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Substantial Shareholders/Unitholders:
	4,380,000
3.	Amount of consideration paid or received by Substantial Shareholders/Unitholders (excluding brokerage and stamp duties):
	1.05 SGD per Unit
4.	Circumstance giving rise to the interest or change in interest:
	Acquisition of:         Securities via market transaction         Securities via off-market transaction (e.g. married deals)         Securities via physical settlement of derivatives or other securities         Securities pursuant to rights issue         Securities via a placement         Securities following conversion/exercise of rights, options, warrants or other convertibles         Disposal of:         Securities via market transaction         Securities via off-market transaction         Securities via off-market transaction (e.g. married deals)         Other circumstances:         Acceptance of take-over offer for the Listed Issuer         Corporate action by the Listed Issuer which Substantial Shareholders/Unitholders did not participate in (please specify):
	Others ( <i>please specify</i> ):

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Item 5 is to be completed by an individual submitting this notification form on behalf of the Substantial Shareholders/Unitholders.

- 5. Particulars of Individual submitting this notification form to the Listed Issuer:
  - (a) Name of Individual:

Nicholas R. Darrow

(b) Designation (*if applicable*):

Senior Vice President

(c) Name of entity (*if applicable*): The Bank of New York Mellon Corporation

Transaction Reference Number (auto-generated):

26	8	5	3	5	1	4	5	3	6	6	1	5	3
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